

July 22, 2021 12-1pm ET

Carly Hansen, Scott DeNeale, Chris DeRolph, Forest Carter (ORNL)

Bo Saulsbury (PNNL)







Workshop Agenda

Presenter	Description	Time (ET)
C. Hansen and S. DeNeale	Introduction and kick-off	12:00-12:10pm
C. DeRolph	NPD Explorer App	12:10-12:25pm
F. Carter	NPDam Custom Analysis and Taxonomy App (NPDamCAT)	12:25-12:40pm
B. Saulsbury	Example of large-scale analysis of dams focused on environmental impacts	12:40-12:50pm
C. Hansen	Q&A, wrap-up	12:50-1:00pm

Acknowledgements: Colin Sasthav, Mirko Musa, Brennan Smith (ORNL SMH Team), Debjani Singh (ORNL HydroSource PI), Katie Jackson, Kyle Desomber, Tim Welch (DOE WPTO), Greg Baecher (University of Maryland) and Bo Saulsbury (PNNL), Workshop #1 participants



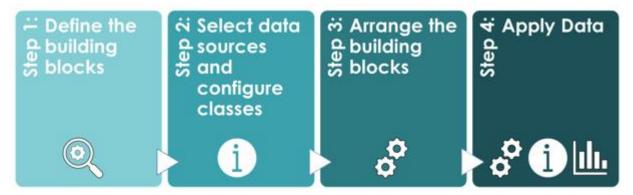
Recap of Workshop #1 on NPDs

- Held on December 12, 2020
- Part of the Standard Modular Hydropower (SMH) project funded by the Department of Energy's Water Power Technologies Office
- Reviewed major challenges to analyzing non-powered dams:
 - Large and diverse population
 - Data availability/barriers to access
 - Data quality
 - Variety of interests involved



Recap of Workshop #1 on NPDs

Project developer Environmental advocate Dam owner Technology developer Academic/researcher Other Water resource management Dam safety Consulting Regulatory/licensing



Objectives:

- Better understand the backgrounds, priorities, and needs of various stakeholders involved with non-powered dams
- Present a vision and framework for tackling NPD classification
- Solicit feedback from a diverse suite of potential users of classification research products and tools

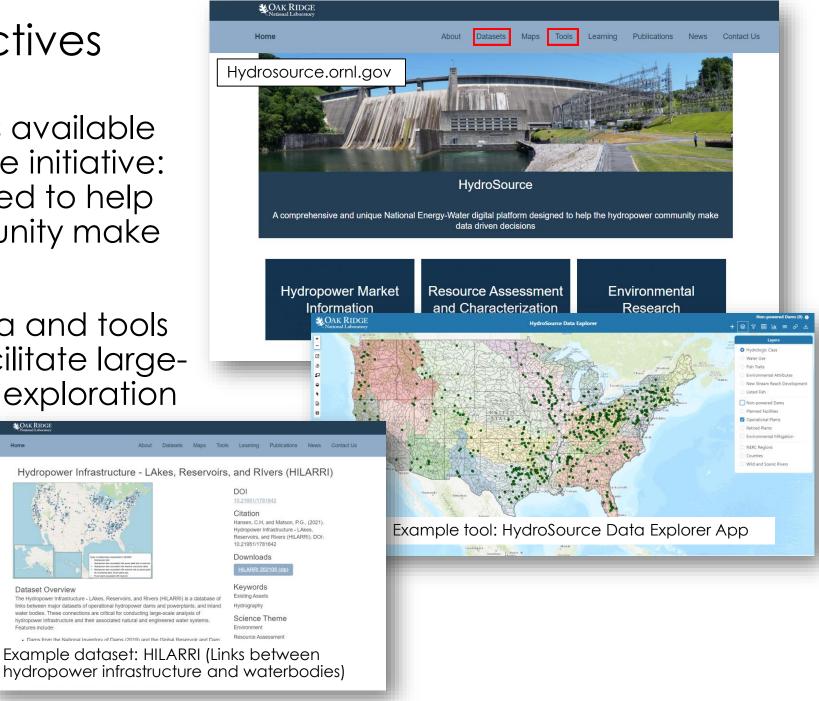
Workshop #2 Objectives

 Highlight data and tools available through the HydroSource initiative: "digital platform designed to help the hydropower community make data driven decisions"

 Introduce a suite of data and tools being developed to facilitate largescale dam analysis and exploration

of dam data

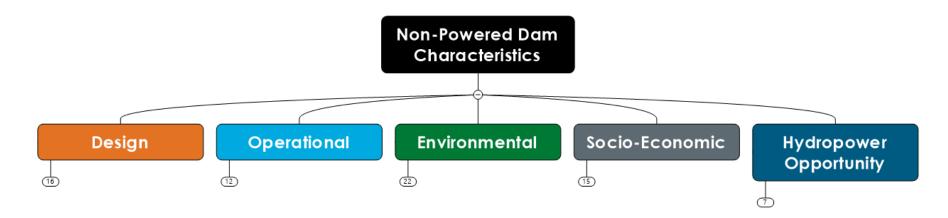
 Identify remaining features or data of interest to potential users





NPD Characteristics Inventory

- Compilation of data from multiple sources is the fuel for the web apps
 - Address many of the challenges inherent to large-scale analysis of dams
 - Major "building block" used in exploring dams and creating taxonomies of dams





Overview of Tools

Tools that facilitate exploration and understanding of Non-powered Dams

NPD Explorer App

NPD-specific data layers are hosted and displayed via ArcGIS Online to create a "sandbox" environment

NPDamCAT App

Focus is on NPDs and is more general beyond hydropower

Web application accessed through HydroSource Executes a classification workflow (selecting characteristics and data sources > defining classes > reviewing information about discrete groups of dams)

HydroSource Web App

Provides access to a wide array of hydropower-related data (existing and potential dams, power plants, environmental information)





NPD Explorer

Sandbox environment for open-ended exploration of non-powered dams

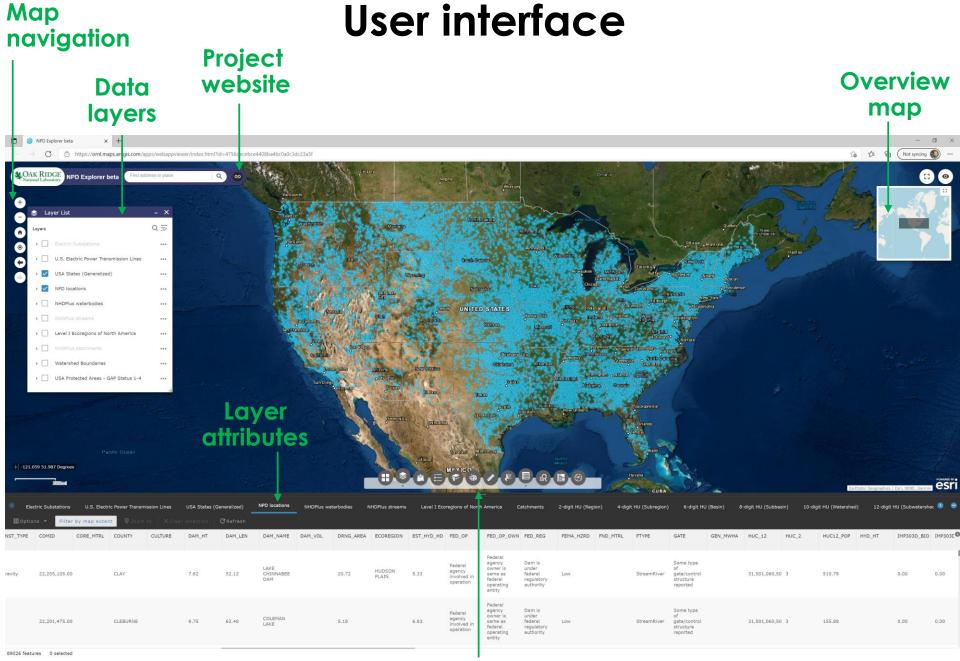


Functionality

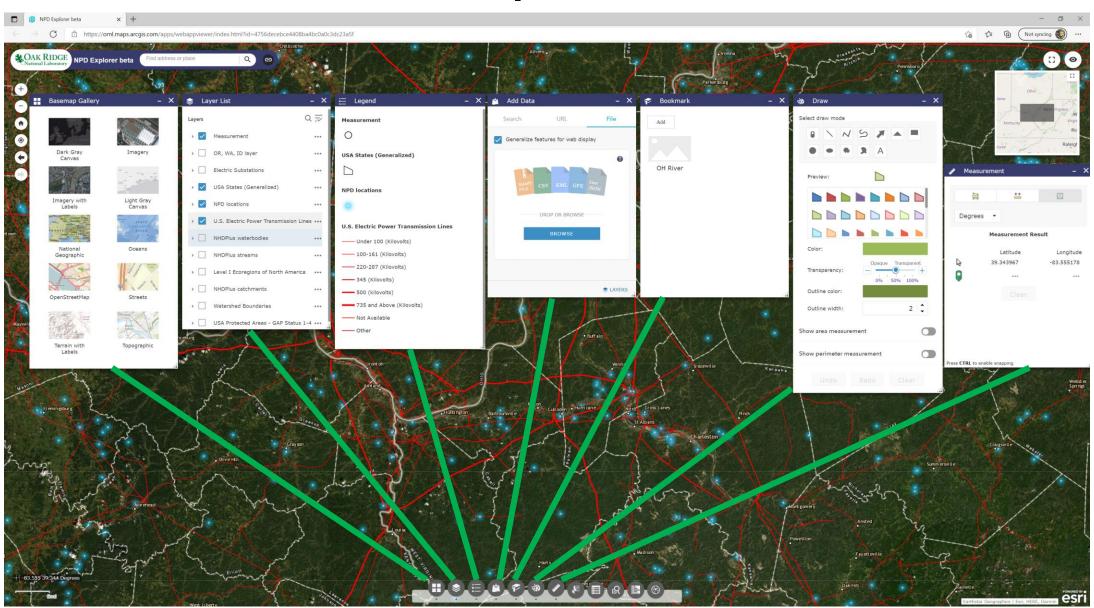
The basic functionality of NPD Explorer falls into three categories:

- **Data layers** provide geospatial information about different NPD and landscape characteristics.
 - There are currently **10 data layers** in NPD Explorer
- The **user queries** function allows users to input specific search criteria, visualize results, and download data summaries.
 - There are currently >120 attributes that users can query in NPD Explorer
- Data interactivity is available to users through common map interactivity tasks
 like selections and identifying spatial features, as well as a series of infographics
 and charts that summarize query results

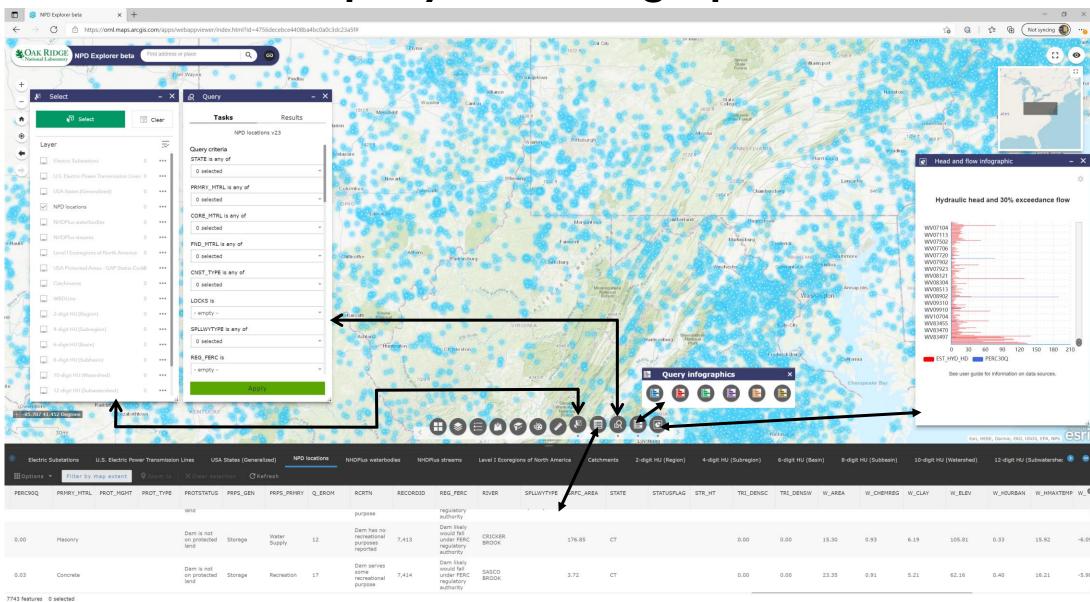




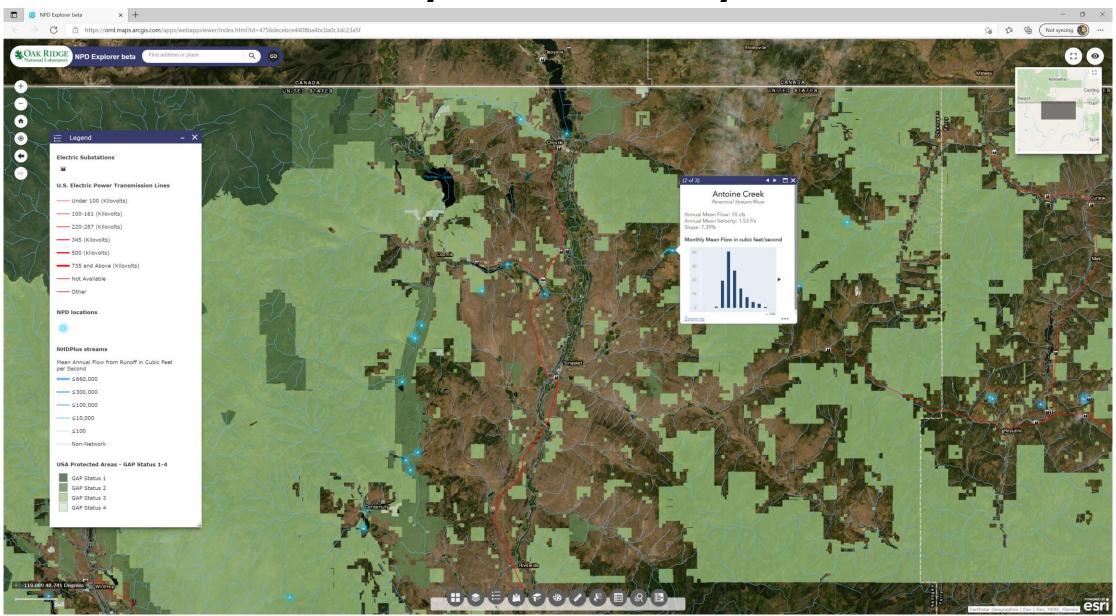
Map tools



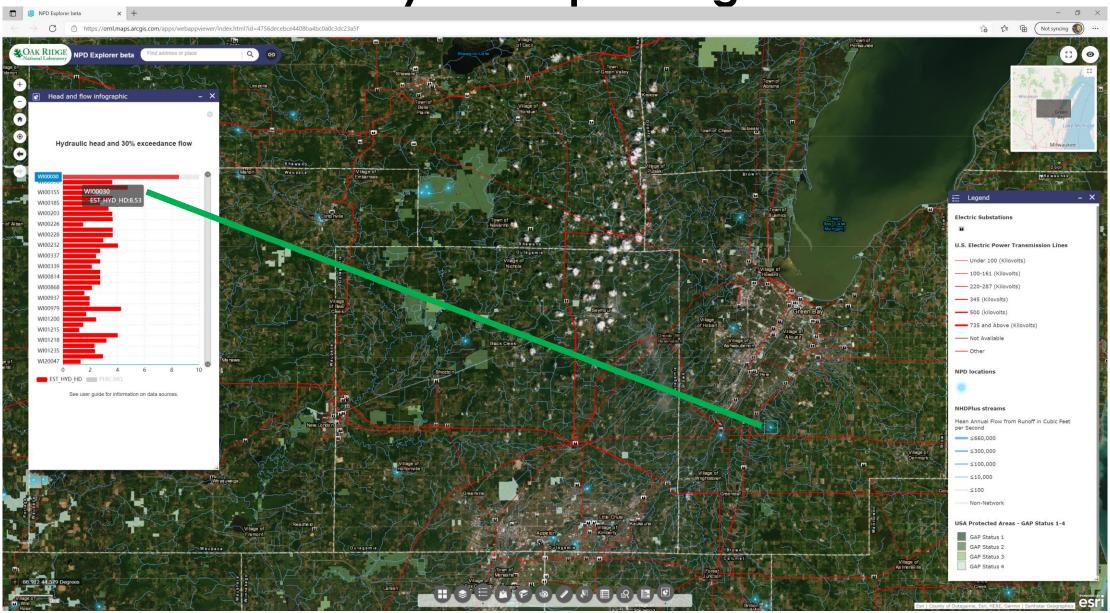
Select, query, and infographics tools



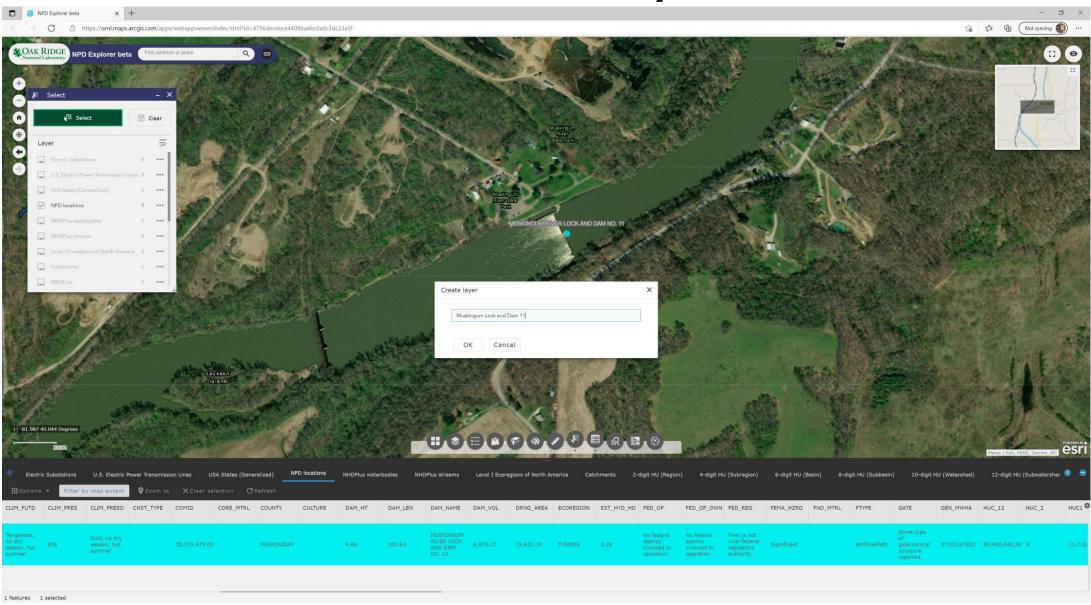
Layer interactivity



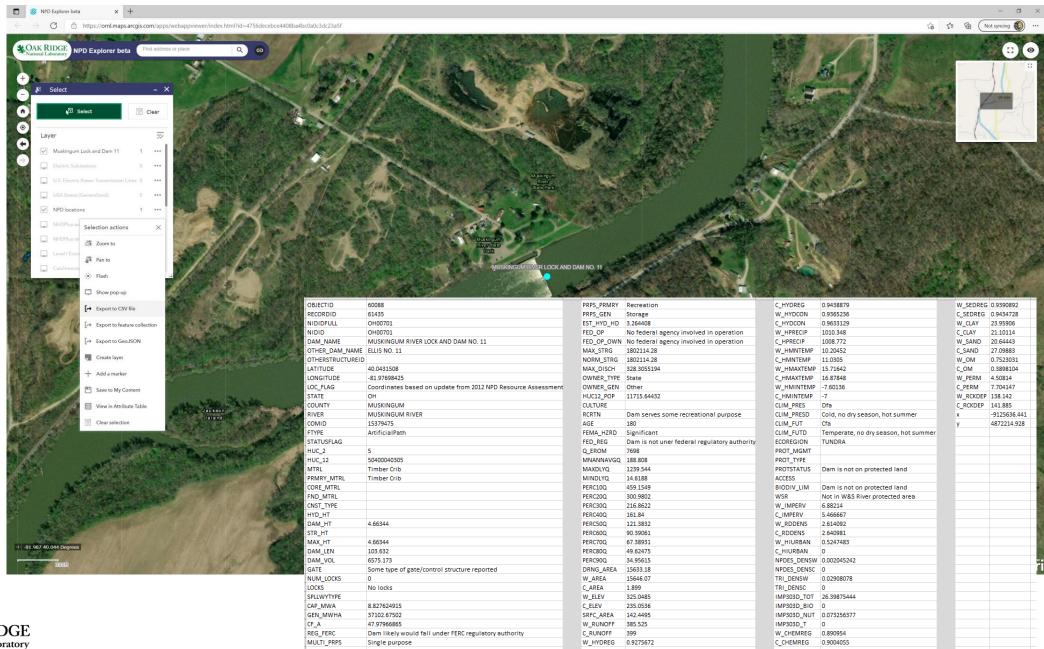
Dynamic panning



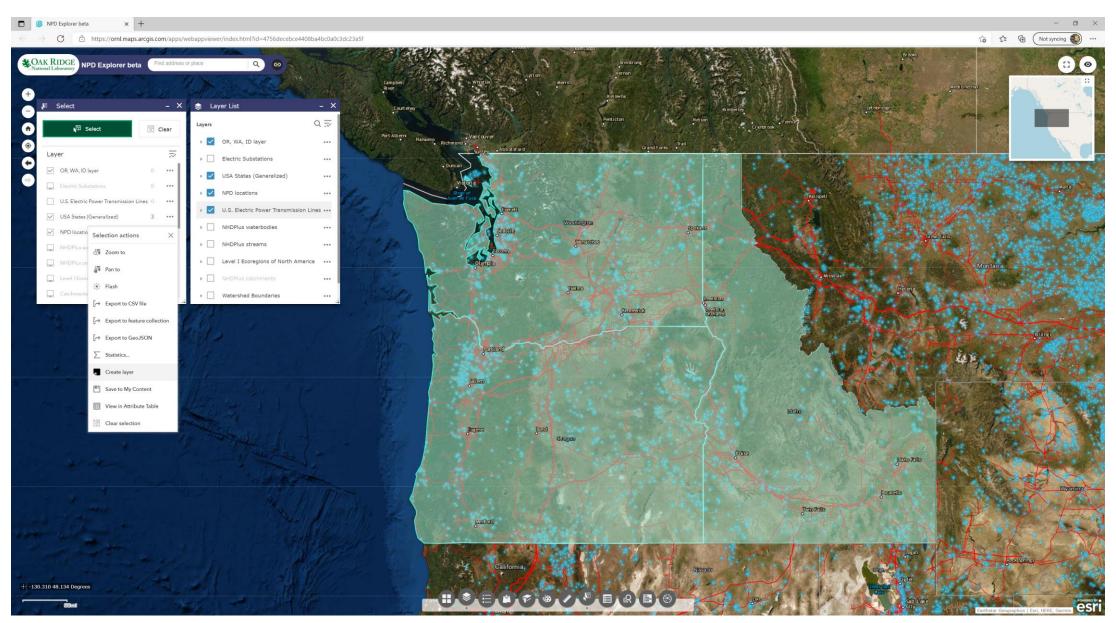
Create new layers



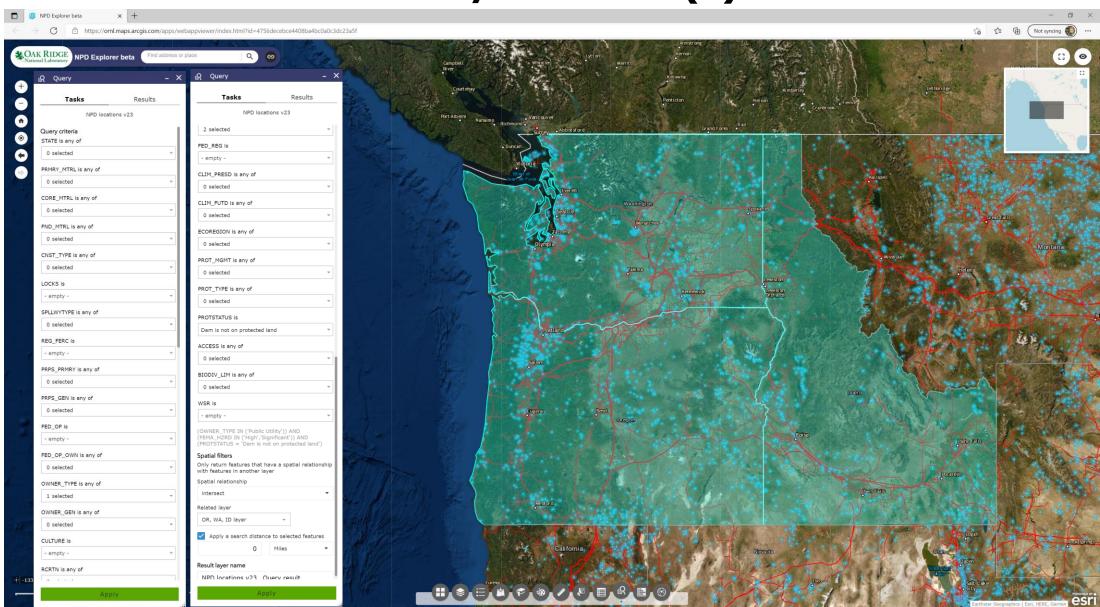
Download data



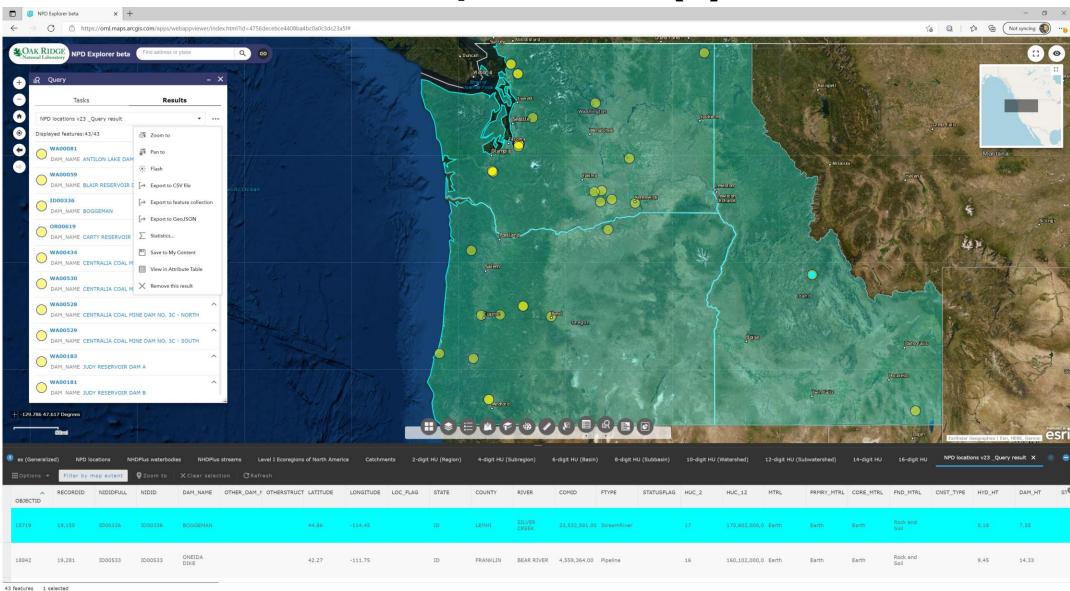
Select Features



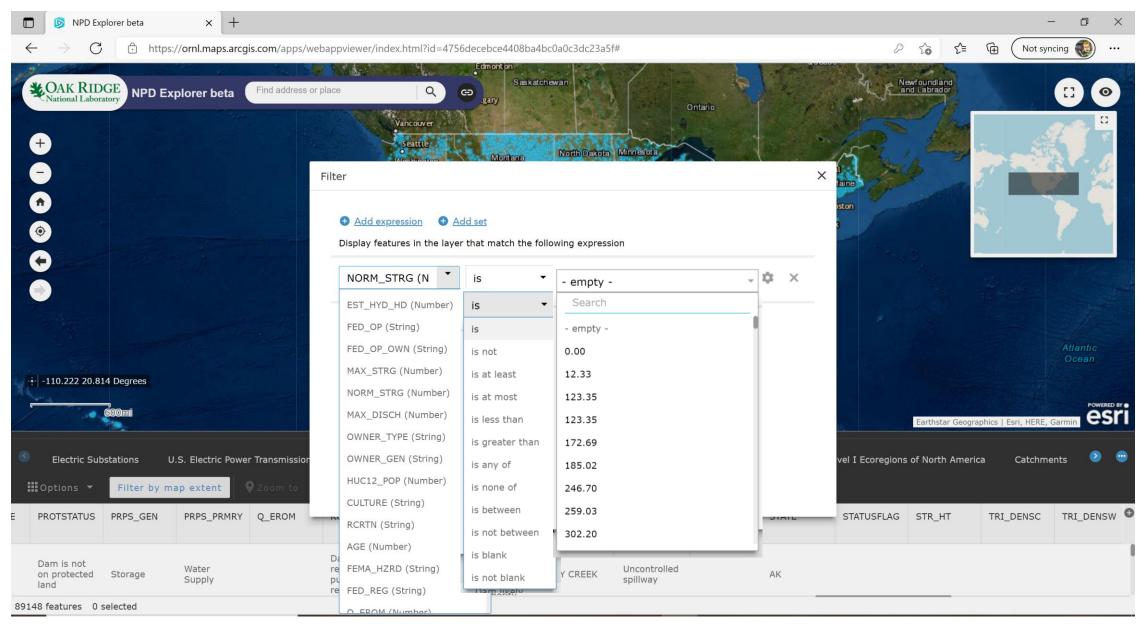
Query features (1)



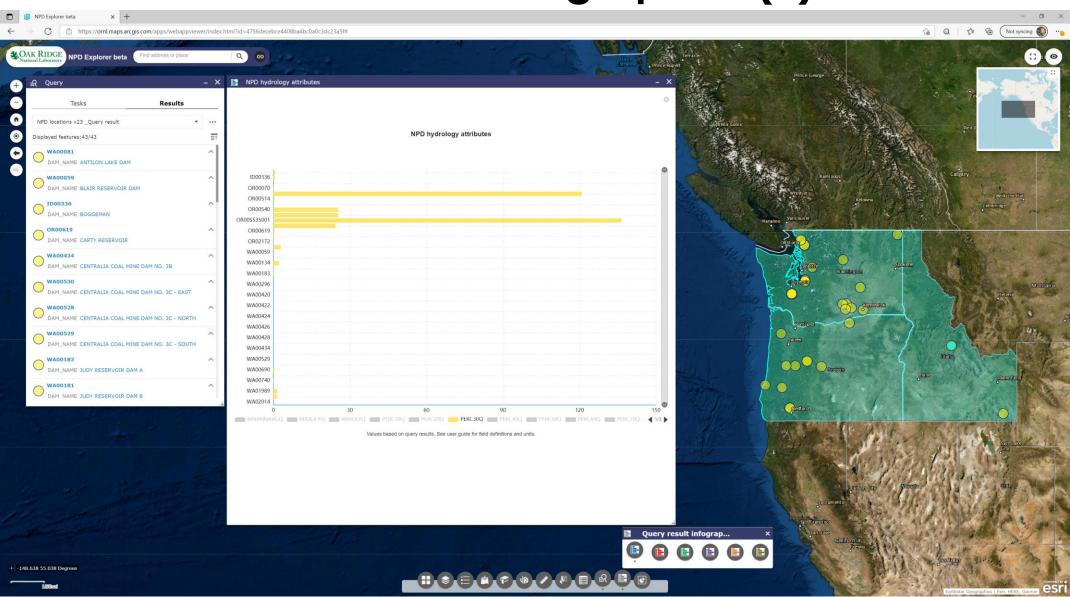
Query features (2)



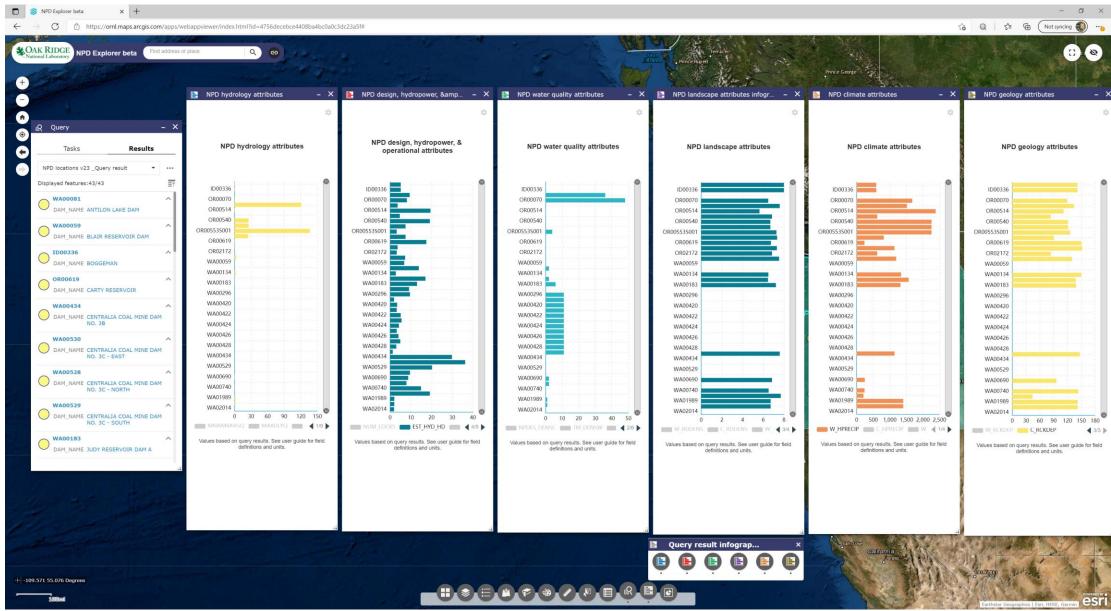
SQL filter



Interactive infographics (1)



Interactive infographics (2)





NPDamCAT

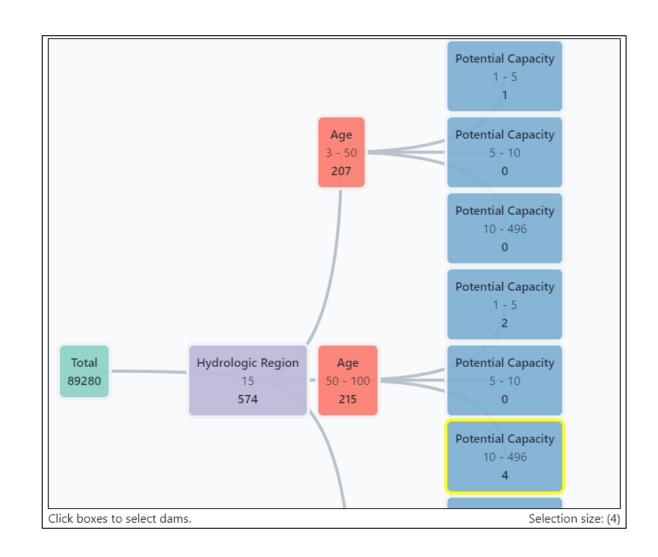
Web app that walks through classification process to group and analyze dams according to a user's interests



NPDamCAT

This app centers around constructing a **taxonomy diagram**.

- 1. Choose characteristics
- 2. Specify classes
- 3. Select interesting groups in the taxonomy diagram
- 4. View maps, charts, and download selected NPDs

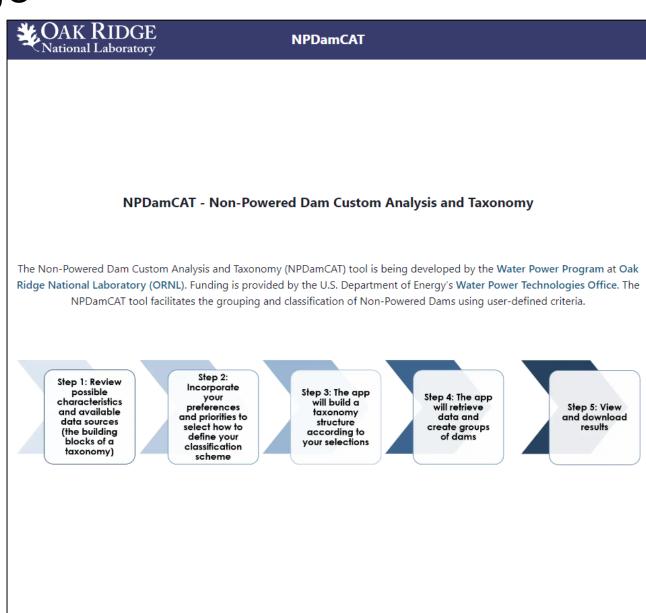


Landing Page

First introduced

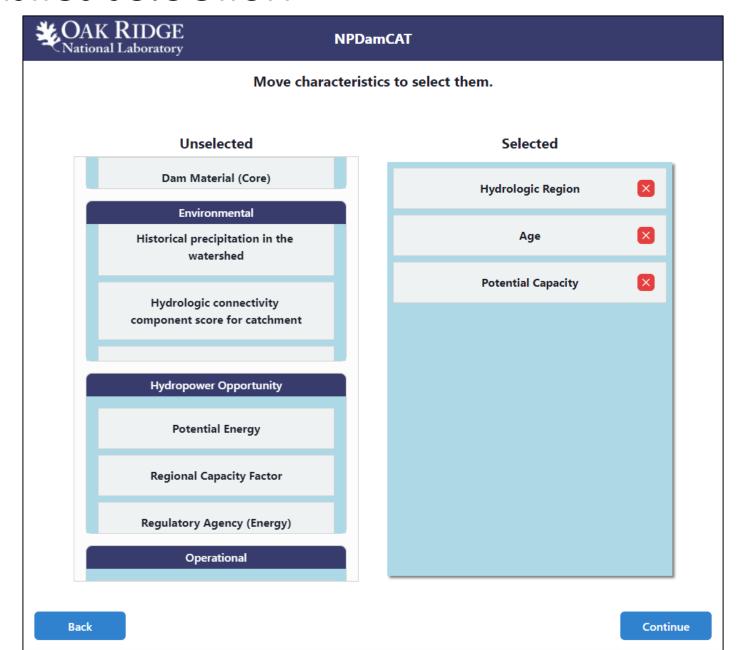
in the previous

workshop

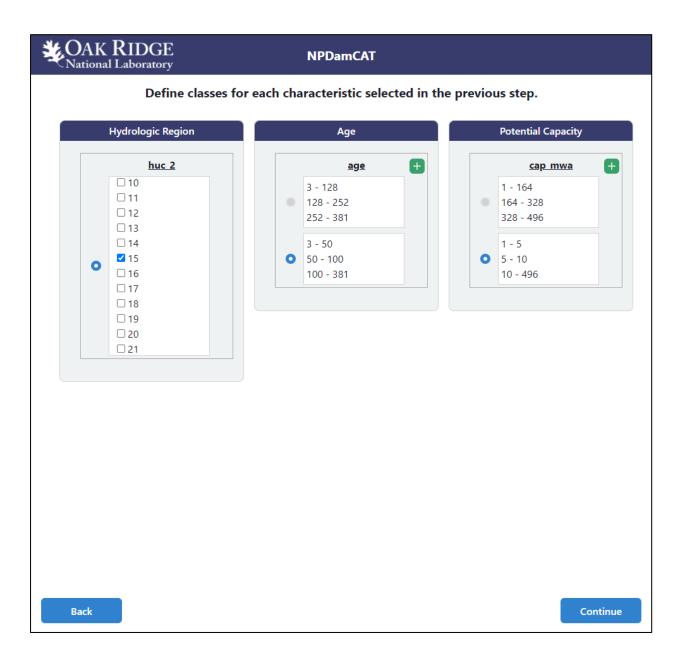




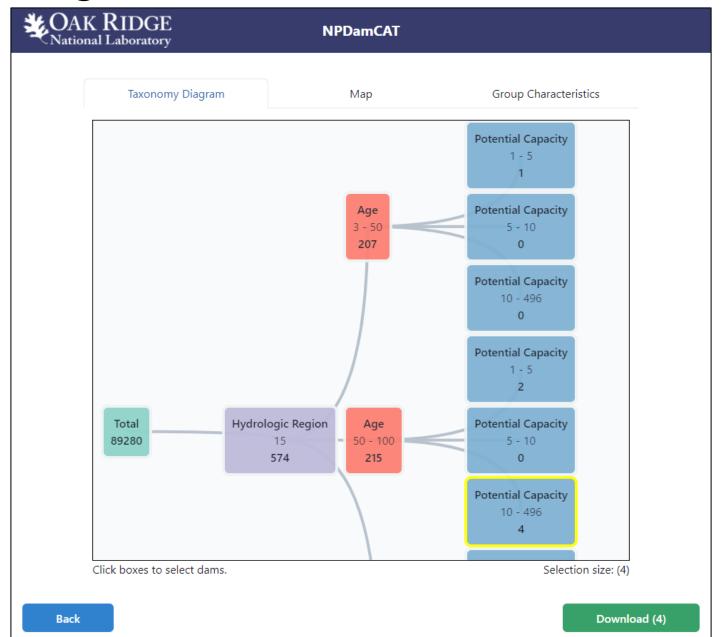
Characteristics selection



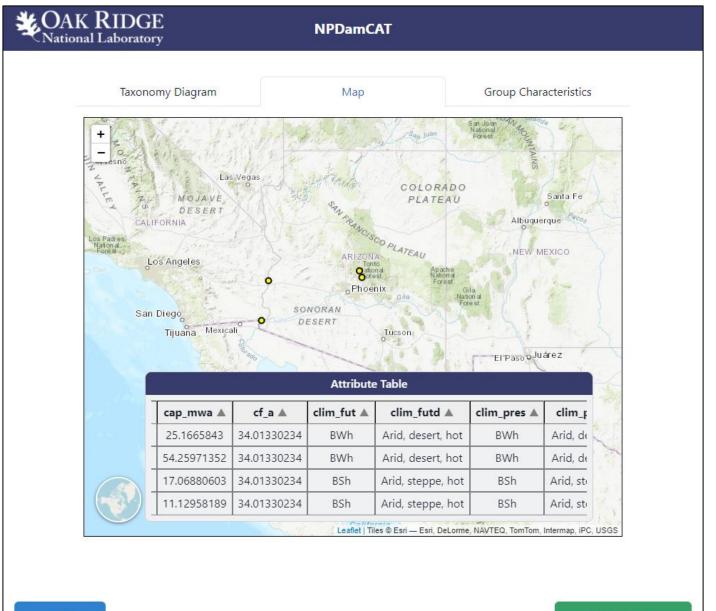
Classes



Taxonomy Diagram



Map

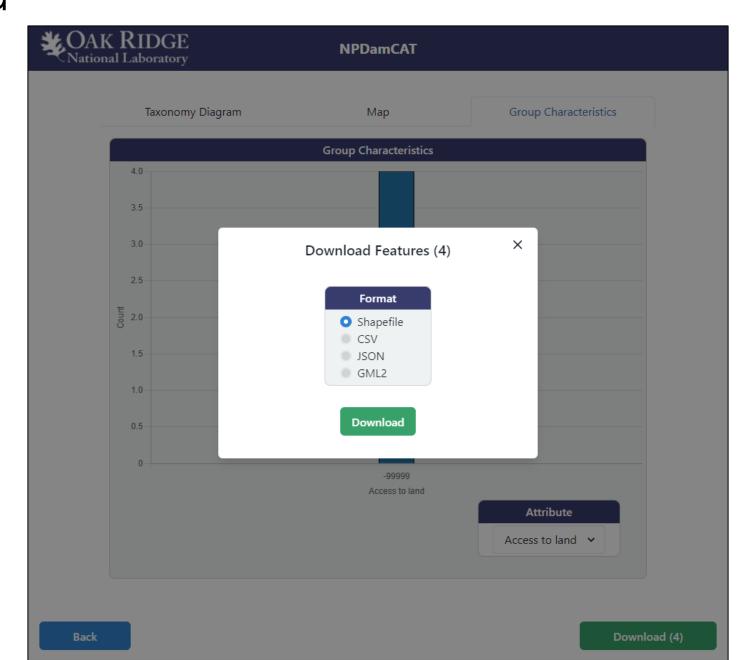




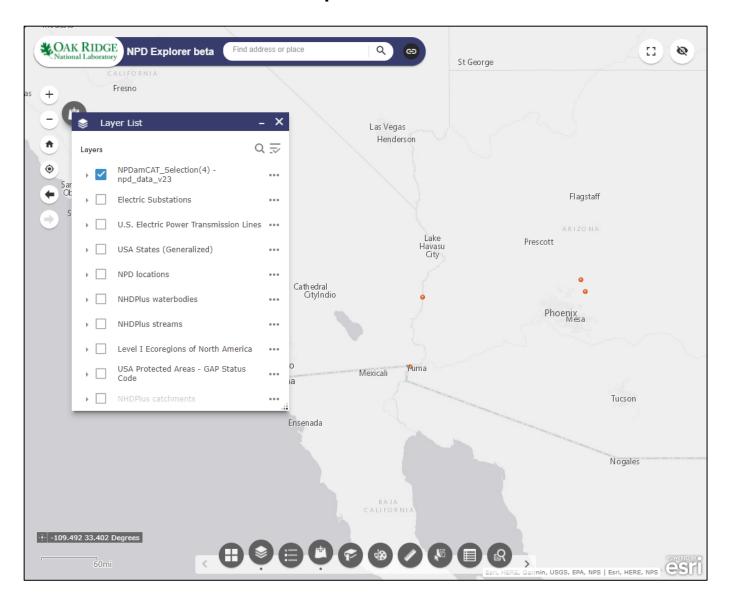
Group Characteristics



Download



Load selection into NPD Explorer





Spotlight on large-scale analysis of dam environmental impacts

Bo Saulsbury





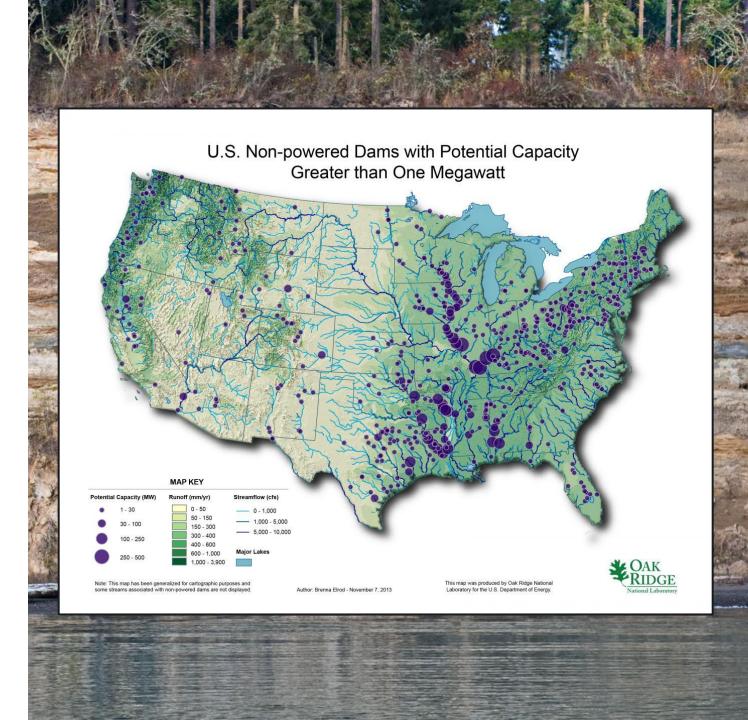
Highlight of Analyses Supported by NPD Classification: Programmatic Environmental Review of Licensing NPDs

ORNL Workshop "NPD Explorer and NPDamCAT: Web Tools Supporting Analysis of Non-Powered Dams (NPDs)"

July 22, 2021

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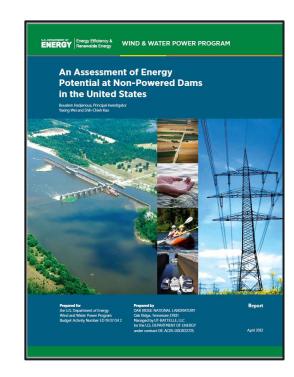


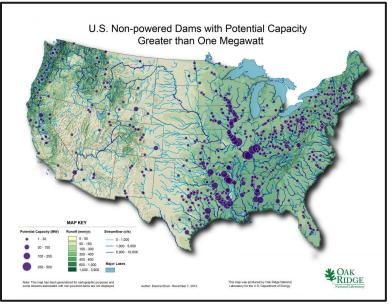




Potential

- 2012 ORNL report analyzed 54K+ existing NPDs; adding hydropower facilities could add ~12 GW of new capacity.
- Since 2012: 16 NPDs listed have added hydropower, with total installed capacity of 343.2 MW.
- October 2019: Federal Energy Regulatory Commission (FERC) published list of 230 existing federal NPDs with total potential capacity of ~5.1 GW (<u>Nonpowered Federal Dams</u> <u>with Potential for Non-Federal Hydropower Development</u>).
- December 2019: NPD projects in the development pipeline had potential installed capacity of ~1.1 GW (<u>ORNL 2021</u> <u>Hydropower Market Report</u>).







Process

- Most NPDs are located on federal lands and/or owned by federal agencies; private developers install hydropower under license issued by FERC.
- In licensing process, FERC conducts environmental review of NPD applications under the <u>National Environmental</u> <u>Policy Act of 1969 (NEPA)</u> (42 USC 4321-4347).
- America's Water Infrastructure Act of 2018 (AWIA) directed FERC to establish expedited licensing process for qualifying facilities at NPDs; final FERC decision ≤ 2.0 years after receipt of completed application.
- April 2019: FERC issued regulations for <u>NPD expedited</u> <u>licensing process</u>.











Programmatic Environmental Review

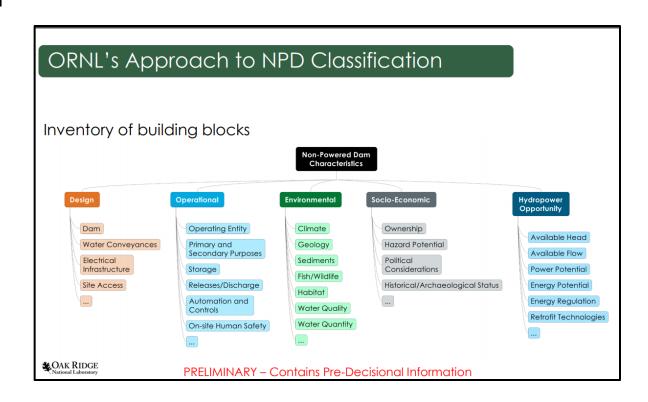
- What could further expedite the FERC NPD licensing process? A **programmatic environmental review** of licensing NPDs nationwide.
- Programmatic review: assess environmental impacts of broad federal agency programs or projects under which subsequent actions will be implemented.
- Site-specific reviews tier from programmatic review for most resources; focus on distinct site-specific issues.
- NPD licensing is well-suited because:
 - NPDs have many common characteristics.
 - NPD development has many common issues/impacts.
- Most NPDs can be <u>classified</u> (as ORNL is doing) and impacts evaluated as suggested by <u>CEQ</u>
 <u>NEPA regulations</u>:
 - ✓ **Geographically:** Common locations—on rivers or in basins that can be classified by geographic region.
 - ✓ **Generically:** "Relevant similarities" common timing, impacts, alternatives, methods of implementation, and subject matter.
 - ✓ **By stage of technological development:** Common technologies—some established, others are demonstrations of new technologies to reduce environmental impacts.





NPD Programmatic Environmental Review

- NPD programmatic review would be based on <u>classification</u> of common characteristics and common issues/impacts into categories for analysis:
 - affected environment (e.g., data on dam locations, types, and sizes; data on potentially affected water bodies and environmental resources);
 - proposed actions (e.g., data on types and sizes of turbines and other equipment proposed; data on proposed project operations and existing operational constraints, environmental and otherwise); and
 - alternatives (e.g., data on alternatives to proposed hydropower equipment and operations).
- ORNL has started this "building blocks" work (from December 2020 workshop).





NPD Programmatic Environmental Review

- Classification of "Environmental" resources could mirror those typically included in FERC NEPA reviews:
 - Geologic and Soil Resources ("Geology" and "Sediments")
 - Water Resources ("Water Quantity" and "Water Quality")
 - Fisheries Resources ("Fish")
 - Terrestrial Resources ("Wildlife")
 - Threatened and Endangered Species ("Fish/Wildlife" and "Habitat")
 - Recreation and Land Use
 - Aesthetic Resources
 - Cultural Resources ("Historical/Archaeological Status")
 - Socioeconomics
 - Environmental Justice
 - Air Quality and Noise ("Climate")
- Conclusion: Classification and data available from NPD Explorer and NPDamCAT could serve as the basis for a programmatic review of environmental impacts of licensing NPDs nationwide.
- Benefit: Could help further expedite the NPD licensing and development process.

MULTI-PROJECT

ENVIRONMENTAL ASSESSMENT

FOR HYDROPOWER LICENSE

Opekiska Lock and Dam Hydroelectric Project, FERC Project No. 13753-002

Morgantown Lock and Dam Hydroelectric Project, FERC Project No. 13762-002

West Virginia

Point Marion Lock and Dam Hydroelectric Project, FERC Project No. 13771-002
Grays Landing Lock and Dam Hydroelectric Project, FERC Project No. 13763-002
Maxwell Locks and Dam Hydroelectric Project, FERC Project No. 13766-002
Monongahela Locks and Dam 4 Hydroelectric Project, FERC Project No. 13767-002

Federal Energy Regulatory Commission Office of Energy Projects Division of Hydropower Licensing 888 First Street, NE Washington, D.C. 20426

September 2016



Wrap-up



Additional Resources for Large-scale Dam Research

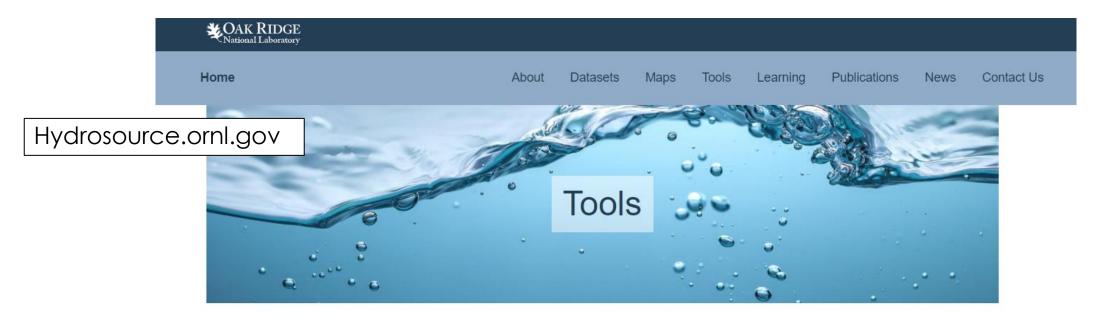
- National Performance of Dams Program (Stanford University)
 - Basic filtering/selection of dams from NPDP database
 - Explore dam incidents, consequences, failure modes
 - http://npdp.stanford.edu/dams_database
- Regional Aquatic Barrier Prioritization Tools
 - Freshwater Network
 - https://freshwaternetwork.org/projects/aquatic-barrier-prioritization/
 - Southeast Aquatic Resources Partnership
 - https://connectivity.sarpdata.com/



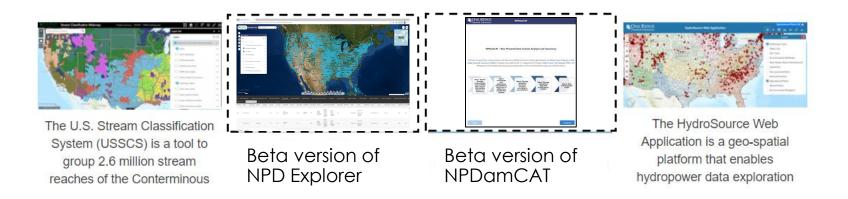
Next steps

- All registrants will receive an email with link to materials
 - Slides and user guide for public review on the SMH project website (smh.ornl.gov/media)
 - Beta version of apps will be made available to workshop participants
 - Will ask for feedback on:
 - -features that work well, don't work
 - suggestions for additional characteristics to include in NPD characteristics inventory

Next steps



Tools



Questions and Discussion

Contact: hansench@ornl.gov

